



#6
J. J.
6-102

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Philippe ANTOINE, et al.

Attorney Docket No. Q68686

Appln. No.: 10/084,055

Group Art Unit: Not Assigned

Confirmation No.: 6453

Examiner: Not Assigned

Filed: February 28, 2002

For: MULTI-CARRIER RECEIVER WITH IMPROVED RADIO FREQUENCY
INTERFERENCE CANCELING

SUBMISSION OF PRIORITY DOCUMENT

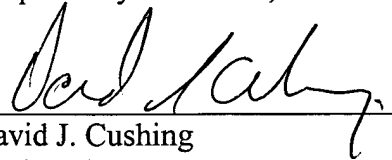
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is a certified copy of the priority document on which a claim to
priority was made under 35 U.S.C. § 119. The Examiner is respectfully requested to
acknowledge receipt of said priority document.

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Respectfully submitted,


David J. Cushing
Registration No. 28,703

Enclosures: Europe 01400548.2

Date: April 16, 2002

This Page Blank (uspto)



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets



Bescheinigung Certificate

Attestation

068686

1081

Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

01400548.2

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

DEN HAAG, DEN
THE HAGUE, 05/02/02
LA HAYE, LE

This Page Blank (uspic)



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation

Anmeldung Nr.:
Application no.:
Demande n°: 01400548.2

Anmeldetag:
Date of filing: 01/03/01
Date de dépôt:

Anmelder:
Applicant(s):
Demandeur(s):
ALCATEL
75008 Paris
FRANCE

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:

Multicarrier receiver with radio frequency interference estimation capability

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

Tag:
Date:
Date:

Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:

H04L27/26

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR
Etats contractants désignés lors du dépôt:

Bemerkungen:
Remarks:
Remarques:

See for original title of the application page 1 of the description

This Page Blank (uspto)



-1-

**MULTI-CARRIER RECEIVER WITH IMPROVED RADIO FREQUENCY
INTERFERENCE CANCELING**

5 The present invention relates to a multi-carrier receiver as defined in the non-characteristic part of claim 1.

Such a multi-carrier receiver is already known in the art, e.g. from the article '*Mitigation of radio Interference in xDSL Transmission*' from the authors Luc de Clercq, Miguel Peeters, Sigurd Schelstraete and Thierry Pollet. This article has been published in *IEEE Communications Magazine*, March 2000, pag. 168-173.

10 The DMT (Discrete Multi Tone) receiver drawn in Figure 3 of this article and described on page 172 in the paragraph entitled 'Digital RFI Canceling' receives a multi-carrier DMT signal and demodulates digital data contained in DMT symbols from the set of carriers constituting the multi-carrier DMT signal. In order not to disturb radio amateur transmission, the PSD (Power Spectral Density) of the

15 multi-carrier DMT signal stays below a certain maximum value within predetermined, standardised frequency bands: the so called RFI (Radio Frequency Interference) bands listed in table 1 of the cited article. A radio amateur signal or narrowband interferer transmitted within this RFI band can disturb receipt of the multi-carrier DMT signal, even outside the RFI band. Indeed,

20 harmonics (sidelobes) of the radio amateur signal can affect the carriers of the DMT signal located outside the RFI band so that proper demodulation of the bits modulated on these carriers is no longer possible without additional measures. For this reason, the known DMT receiver estimates the characteristics of a narrowband disturber in the RFI band. These characteristics generally speaking

25 are parameters of the RFI model that have to be estimated. If for the sake of simplicity it is supposed that the narrowband disturber is a sine or cosine shaped signal (the sine or cosine model in practice is not used but gives an indication why two parameters can be considered), the amplitude and phase are for instance two characteristics that can be estimated. To estimate these

30 characteristics, the known DMT receiver selects antennas within the RFI band. An

-2-

antenna is a reserved carrier out of the set of carriers that constitute the DMT signal, where to no or a substantially low amount of power and data bits are assigned. All carriers within the RFI band are candidate antennas because the PSD (Power Spectral Density) of the multi-carrier DMT signal anyhow has to stay

5 low in the RFI band, e.g. -80 dB/Hz for aerial wires (strong coupling PSD) and -60 dB/Hz for buried wires (weak coupling PSD) according to standard specifications. By sensing the signals received at the frequencies of the antennas, the multi-carrier receiver can determine characteristics of the narrowband disturber. This is explained at page 172 of the cited IEEE publication, right

10 column, second paragraph.

The location of the antennas relative to the RFI signal frequency is critical for the estimation of the RFI signal characteristics. In case the narrowband disturber is located e.g. near an edge of the RFI band, the prior art DMT receiver cannot estimate the characteristics of the narrowband disturber accurately,

15 because the two antennas cannot be located optimally. One of these antennas has to be selected too close to the RFI disturber, or both antennas have to be chosen at the same side of the RFI disturber.

A consequence of the operation of the known DMT receiver is loss of minimum guaranteed capacity. This minimum guaranteed capacity is the

20 capacity (measured in bits per second received) in the worst case scenario. This worst case scenario corresponds to a situation where the narrowband disturber is located at an edge of the RFI band, because the harmonics of the narrowband disturber then affect the carriers out of the RFI band most strongly. In particular in this worst case situation, the prior art DMT receiver fails to accurately determine

25 the characteristics of the narrowband disturber so that the disturbing effects thereof cannot be compensated for optimally.

An object of the present invention is to provide multi-carrier receiver similar to the known one, but which is able to estimate the characteristics of a narrowband disturber in the standardised RFI band accurately, irrespective of the

-3-

location of this narrowband disturber in the RFI band, and whose minimum guaranteed capacity is increased.

According to the invention, this object is achieved by the multi-carrier receiver defined in claim 1.

5 Indeed, the antennas no longer have to be chosen exclusively within the RFI band, but alternatively may be chosen amongst the carriers in the RFI band and at least two carriers located outside the RFI band. In case the narrowband interferer is not optimally located, i.e. near to an edge of the RFI band, one antenna can be selected inside the RFI band and a second antenna can be
10 chosen outside the RFI band so that the two antennas are located at opposite sides of the narrowband disturber and at sufficient distance thereof in order to allow an accurate estimation of the characteristics of the narrowband disturber. Since the characteristics of a narrowband disturber in the worst case situation where this narrowband disturber is located at an edge of the RFI band can be
15 estimated more accurately according to the present invention, the effects of the worst case narrowband disturber can be compensated for more optimally resulting in an increased minimum guaranteed capacity.

A minor disadvantage of the multi-carrier receiver according to the present invention is that its maximum achievable capacity is lower than that of
20 the known DMT receiver because at least two carriers outside the RFI band are set to zero (no or small amount of power and data bits allocated thereto). The maximum achievable capacity however is a less relevant parameter than the minimum guaranteed capacity for multi-carrier transmission systems like DMT (Discrete Multi Tone) based ADSL (Asynchronous Digital Subscriber line) or VDSL
25 (Very High Speed Digital Subscriber Line) transmission. Moreover, the relative decrease of the maximum achievable capacity through implementation of the present invention gets smaller in systems with a high number of carriers such as VDSL systems (because the number of carriers to be set zero remains two), whereas the increase of the minimum guaranteed capacity gets higher in systems

-4-

with a high number of carriers (because a higher number of carriers is affected in the worst case situation if the prior art solution is applied).

It is to be noticed that the term 'comprising', used in the claims, should not be interpreted as being limitative to the means listed thereafter. Thus, the
5 scope of the expression 'a device comprising means A and B' should not be limited to devices consisting only of components A and B. It means that with respect to the present invention, the only relevant components of the device are A and B.

10 An optional feature of the multi-carrier receiver according to the present invention is defined in claim 2.

Thus, in systems where the amount of power and data bits assigned to each carrier is determined by the receiver, the receiver itself can reserve at least two carriers outside the RFI band that may be selected later on as antenna for estimation of the characteristics of the RFI disturber, by assigning a low amount
15 of power and data bits to these carriers. This is for instance the situation in ADSL systems or VDSL systems, wherein the receiver determines the amount of power and data bits to be allocated to each carrier and communicates these amounts to the transmitter via the so called BiGi-information.

20 Another optional feature of the multi-carrier receiver according to the present invention is defined by claim 3.

In this way, the minimum amount of carriers (which is two) is set to zero outside the RFI band, and the antennas can always be chosen at opposite sides of the RFI disturber irrespective of which edge of the RFI band the narrowband disturber is laying near.

25 A further optional feature of the multi-carrier receiver according to the present invention is defined by claim 4.

In this way, the selection of the carriers that may be used as antennas is optimal for an RFI signal located exactly at the edge of the RFI band, i.e. the worst case situation.

-5-

The above mentioned and other objects and features of the invention will become more apparent and the invention itself will be best understood by referring to the following description of an embodiment taken in conjunction with the accompanying drawings, wherein:

5 Fig. 1 represents a frequency diagram illustrating the operation of the known multi-carrier receiver in case the RFI disturber RFI-Signal-1 is optimally located, i.e. in the middle of the RFI band;

10 Fig. 2 represents a frequency diagram illustrating the operation of the known multi-carrier receiver in case the RFI disturber RFI-Signal-2 is sub-optimally located, i.e. near an edge of the RFI band.

 Fig. 3 represents a frequency diagram illustrating the operation of an embodiment of the multi-carrier receiver according to the present invention in case the RFI disturber RFI-Signal-3 is sub-optimally located, i.e. near an edge of the RFI band.

15 Fig. 1 illustrates the operation of a prior art multi-carrier receiver. The receiver receives a multi-carrier signal containing for instance 1024 carriers modulated with digital data. The interspacing between two carriers equals 4.3125 kHz. Part of the carriers have frequencies inside the Radio Frequency Interference band, RFI-Band in Fig. 1. This RFI-Band is a predetermined,
20 standardised frequency interval reserved for e.g. radio amateur transmission. To avoid disturbance of the radio amateur emission in the RFI-Band, the power spectral density of the multi-carrier signal within this RFI-Band should be -80 dB/Hz or -60 dB/Hz. This is drawn in Fig. 1, and implies that only a very limited amount of power and data bits can be allocated to the carriers having
25 frequencies within this RFI-Band. The prior art multi-carrier receiver contains circuitry and software to roughly determine the location of a narrowband disturber RFI-Signal-1, e.g. a radio amateur signal, in the RFI-Band. Thereupon, the prior art multi-carrier receiver selects two carriers having frequencies inside the RFI-Band to act as antennas, A11 and A12. The receiver senses the level of
30 the signal received at the frequencies of the antennas A11 and A12, and with this

-6-

information estimates the characteristics of the narrowband disturber RFI-Signal 1. An accurate estimation of the characteristics of the narrowband disturber, RFI-Signal-1, enables the multi-carrier receiver to compensate for the effects of the disturber. This is important, in particular because the tail of the narrowband disturber which is due to harmonics, might affect the carriers outside the RFI-Band that carry large amounts of data bits. In case the antennas A11 and A12 are chosen close to the narrowband disturber RFI-Signal-1, the characteristics of the peak of the narrowband disturber can be estimated accurately, but the tail cannot be estimated accurately. If on the other hand the antennas A11 and A12 are chosen far away from the narrowband disturber RFI-Signal-1, the effect of the narrowband disturber RFI-Signal-1 at the frequency of the antennas may stay below the noise level, so that the receiver senses the noise instead of the disturbance coming from RFI-Signal-1. The location of the antennas A11 and A12 relative to the frequency of the narrowband disturber RFI-Signal-1 is thus critical. For a narrowband disturber RFI-Signal-1 that is located optimally, i.e. in the middle of the RFI-Band like is drawn in Fig. 1, the prior art receiver can always select a pair of antennas, A11 and A12, that enable an accurate estimation of the characteristics of the narrowband disturber. For a narrowband disturber RFI-Signal-2 that is located sub-optimally, i.e. near an edge of the RFI-Band as is drawn in Fig. 2, the prior art receiver has to select one antenna A21 close to the narrowband disturber RFI-Signal-2, or has to select the two antennas on one side of the narrowband disturber RFI-Signal-2. Both choices do not enable the receiver to accurately estimate and compensate for the RFI disturber RFI-Signal-2. As a consequence, the minimum guaranteed capacity decreases as already explained in the introductory part of this patent application.

Fig. 3 illustrates the working of a multi-carrier system according to the present invention. Apart from the carriers having frequencies in RFI-Band, two other carriers C1 and C2 are kept zero. This means that no data bits and no power is allocated to the carriers C1 and C2, although these carriers do not have frequencies within the RFI-Band. Carrier C1, as is denoted in Fig. 3, is located at

-7-

a frequency 17 times 4.3125 kHz below the lower edge of the RFI-Band, whereas carrier C2 is located at a frequency 17 times 4.3125 kHz above the upper edge of the RFI-Band. The receiver according to the present invention again contains circuitry or software to roughly estimate the location of a narrowband disturber

5 RFI-Signal-3. If this narrowband disturber RFI-Signal-3 is located near the lower edge of the RFI-Band, like is depicted in Fig. 3, the multi-carrier receiver according to the present invention selects carrier C1 as the first antenna and another carrier A32 inside the RFI-Band as second antenna for estimating the characteristics of the narrowband disturber RFI-Signal-3. If the narrowband

10 disturber would be located in the middle of the RFI-Band, the multi-carrier receiver will select the two antennas within the RFI-Band, similar to the situation depicted in Fig. 1 for the prior art multi-carrier receiver. In case the narrowband disturber has a peak frequency close to the upper edge of the RFI-Band, the multi-carrier receiver will select a first antenna within the RFI-Band and will select

15 carrier C2 as second antenna. Once the antennas are selected, the procedure or algorithm used for determining the characteristics of the narrowband disturber could be manifold, depending on the application and assumptions made.

It is noticed that applicability of the present invention is not limited to multi-carrier receivers which also have the capability to assign amounts of power

20 and data bits to the different carriers. In an ADSL (Asymmetric Digital Subscriber Line) or VDSL (Very High Speed Digital Subscriber Line) environment, this is the responsibility of the receiver, but any person skilled in the art can imagine that assigning bits and gains to individual carriers could also be the responsibility of the transmitter, a unit separated from the transmitter and receiver, or could even

25 be predetermined by a standard specification. The concept of selecting the antennas at the receiver amongst carriers inside the RFI-Band and at least two carriers outside the RFI-Band can be implemented irrespective of the location of the functionality that assigns bits and gains.

It is also remarked that applicability of the concept according to the

30 present invention is not limited to a particular modulation type such as DMT

-8-

(Discrete Multi Tone), Zipper, OFDM (Orthogonal Frequency Division Multiplexing), ... or to a particular application such as ADSL, VDSL. The present invention provides an advantageous solution to cope with narrowband interferers in any multi-carrier transmission system without drastically affecting the minimum

5 guaranteed capacity.

A further remark is that the functionality to select the antennas for estimating RFI characteristics may be implemented in hardware, in software, or partially in hardware and partially in software. The precise algorithm or selection criteria for the antennas can be manifold, but since the inventive nature of the

10 present invention is not in the criteria used for selecting the antennas, this aspect is not further deepened in this patent application.

While the principles of the invention have been described above in connection with specific apparatus, it is to be clearly understood that this description is made only by way of example and not as a limitation on the scope

15 of the invention.

-9-

CLAIMS

1. Multi-carrier receiver adapted to receive a multi-carrier signal and to demodulate data symbols from a set of carriers that constitute said multi-carrier
5 signal, said multi-carrier receiver comprising antenna selection means to select at least two carriers (A31, A32) as antennas for estimation of characteristics of a narrowband disturber (RFI-Signal-3):

CHARACTERISED IN THAT said antenna selection means is adapted to select said at least two antennas (A31, A32) amongst all carriers located inside a
10 predetermined frequency band (RFI-Band) wherein power spectral density of said multi-carrier signal has to stay below a threshold value, and at least two carriers (C1, C2) located outside said predetermined frequency band (RFI-Band).

2. Multi-carrier receiver according to claim 1,
15 CHARACTERISED IN THAT said multi-carrier receiver further comprises antenna reservation means, adapted to reserve said at least two carriers (C1, C2) located outside said predetermined frequency band (RFI-Band) by assigning a substantially low amount of power and data bits to said at least two carriers (C1, C2).

20

3. Multi-carrier receiver according to claim 1 or claim 2,
CHARACTERISED IN THAT said at least two carriers (C1, C2) are located at an equal distance of respective edges of said predetermined frequency band (RFI-Band).

25

4. Multi-carrier receiver according to claim 3,
CHARACTERISED IN THAT said distance equals 17 times 4.3125 kHz.

This Page Blank (uspto)

-10-

ABSTRACT**MULTI-CARRIER RECEIVER WITH IMPROVED RADIO FREQUENCY
INTERFERENCE CANCELING**

5

A multi-carrier receiver selects antennas (A31, A32), i.e. carriers where to a small amount of power and data is allocated, for estimation of characteristics of a narrowband disturber (RFI-Signal-3) in the Radio Frequency Interference band (RFI-Band), a predetermined band for e.g. radio amateur transmission. These
10 antennas (A31, A32) can be selected amongst all carriers located inside the Radio Frequency Interference band (RFI-Band) and at least two carriers (C1, C2) located outside the Radio Frequency Interference band (RFI-Band).

This Page Blank (uspto)

- 1/2 -

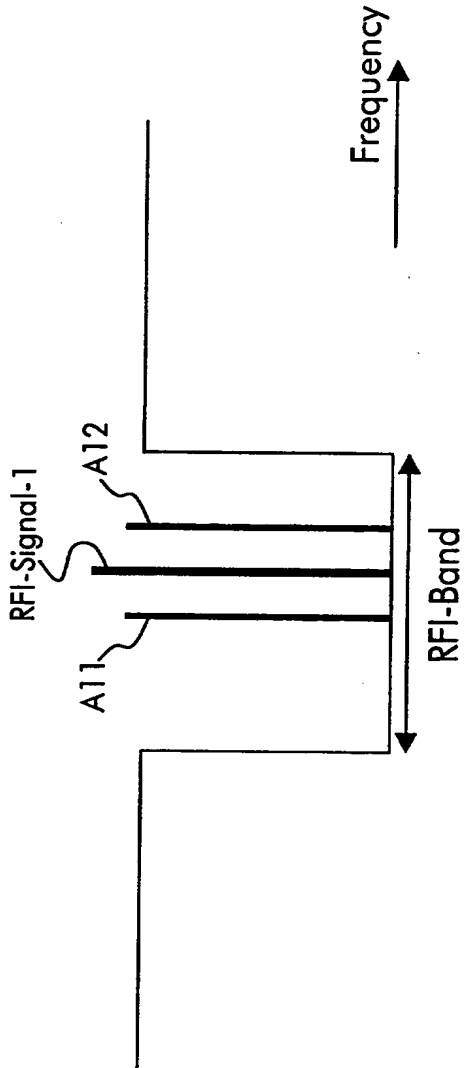


Fig. 1

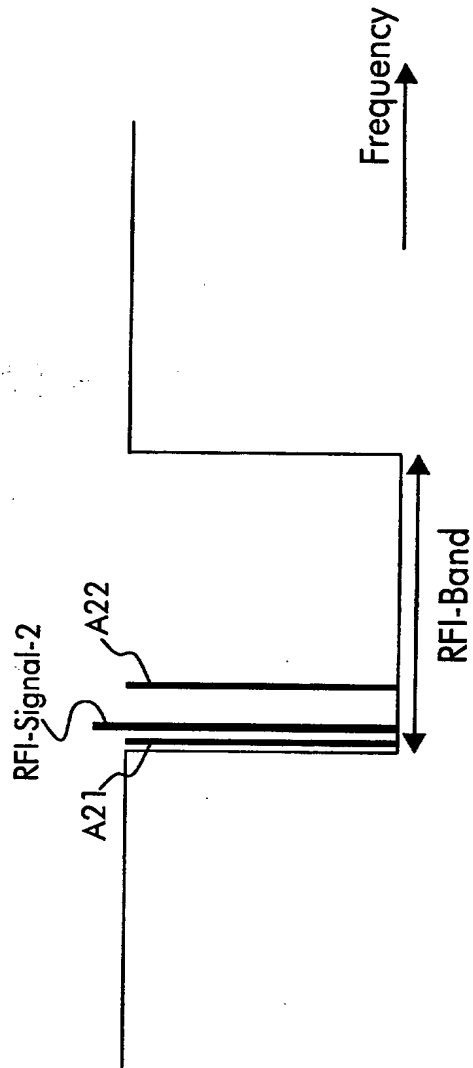


Fig. 2

- 2/2 -

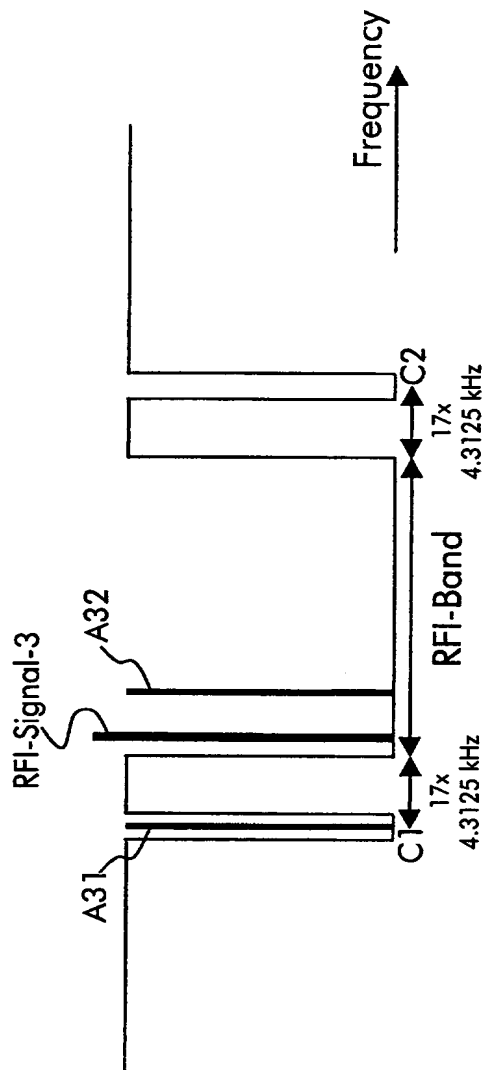


Fig. 3